

Regioselective Pd-catalyzed alkylative lactonizations of 4-hydroxy-2-alkynecarboxylates with organoboronic acids

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Abstract—The palladium-catalyzed addition of aryl- and alkenylboronic acids to 4-hydroxy-2-alkynecarboxylates and in situ lactonization would constitute a novel methodology for the synthesis of various butenolides with an excellent stereoselectivity and a high control of regioselectivity.

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Many butenolides exist in nature and some of them exhibit interesting biological activities (Fig. 1).¹ Because of the enormous importance in chemical as well as pharmaceutical research, a number of synthetic methods for substituted butenolides have been reported.² Among them, ruthenium-catalyzed carbonylative cyclization of allenols,³ palladium-catalyzed cyclization of allenecarboxylic acids,⁴ and enyne metathesis⁵ are the most valuable synthetic methodologies. There is still a continuing need for simple and versatile synthetic methods for substituted butenolides. Palladium-catalyzed reactions

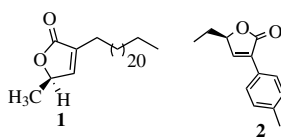
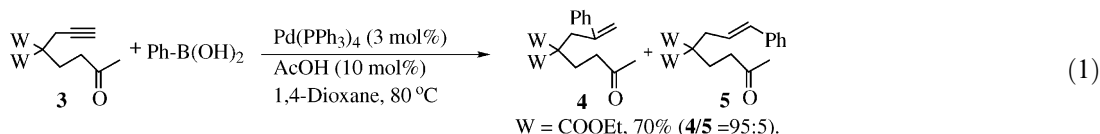


Figure 1.

involving nucleophilic attack on π -alkene-, π -alkyne-, and π -allyl-metal complexes provide convenient and powerful tools for organic synthesis and also a large number of selective organic transformations have been reported.^{6,7}

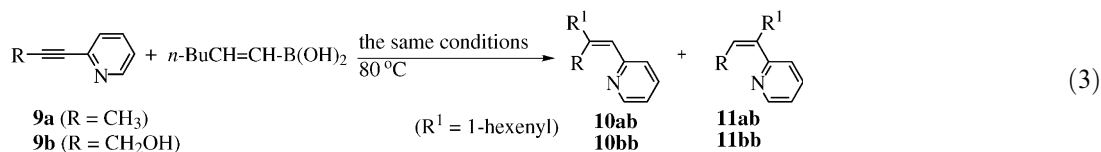
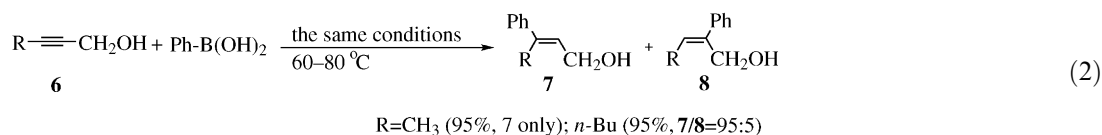
Recently, we reported the hydroarylation and hydroalkenylation of alkynes⁸ and allenes⁹ with a variety of organoboronic acids. During our studies, we found that incorporation of a specific functional group such as a keto (Eq. 1), $-\text{OH}$ (Eq. 2), or nitrogen of 2-pyridyl (Eq. 3) could play a role in controlling the site of addition. Mechanistically, we anticipated that oxygen or nitrogen atoms present in the alkyne substrate would bind the Lewis acidic $\text{RB}(\text{OH})_2$ and thereby direct the addition site.^{10,11} On the other hand, bulky substituents might block addition to one end of the alkyne. The regioselectivity can also be controlled by employing a various types of ligands.¹²



Keywords: Palladium; Catalyst; Boronic acid; Lactonization; Butenolides.

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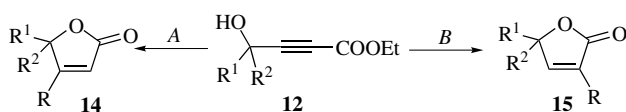
It is worthy to explore the possibility of combining arylation/alkenylation with lactonization in a one step; thus the compounds **12a–g** bearing both a $-\text{OH}$ group and a $-\text{COOEt}$ group were prepared for Pd-catalyzed



hydroarylations and alkenylations.¹³ When **12a** was treated with 3 mol% of palladium acetate, 3 mol% of dppe as a ligand, and 10 mol% of acetic acid in the presence of 1.2 equiv of 1-hexenylboronic acid **13a**, addition of 1-hexenyl group and then subsequent in situ lactonization occurred to give a 7:1 mixture of the corresponding butenolides **14aa** and **15aa** in combined 87% yield. To the best of our knowledge, there was no literature report on this type of reaction. Hence, here we wish to report a new Pd-catalyzed arylation- and alkenylation-lactonizations of 4-hydroxy-2-alkynylcarboxylates with a high control of regioselectivity and *syn*-stereo selectivity (Eq. 4). We have attempted investigation of this protocol for **12a–g** with **13a–b** (Scheme 1) under two conditions.

The condition A is composed of a catalytic system of 3 mol% Pd(OAc)₂ and 3 mol% dppe, while the condition B is composed of a catalytic system of 3 mol% Pd(OAc)₂ and 6 mol% tri(*tert*-butyl)phosphine. The results, obtained under both condition A and B, are summarized in Table 1. It should be noted that both the ester group and the hydroxyl group induced more β-addition

of organoboronic acids under the condition A, implying that the OH group did not show a good directing effect compared to the primary –OH group in the substrate **12a**. Since the ester group might have stronger directing effect over hydroxyl group, the products from **13a** and **13b** gave a 7:1 mixture of **14aa** and **15aa** in 87% yield and a 11:1 mixture of **14ab** and **15ab** in 74% yield, respectively. The size of alkyl group in 4-hydroxy-2-alkynylcarboxylates has showed a dramatic effect on regioselectivity. Even in case of ethyl 4-hydroxy-2-butynylcarboxylate **12b** under condition A with both **13a** and **13b** gave only a 3:1 mixture of **14ba** and **15ba** and a 6:1 mixture of **14bb** and **15bb**, respectively. Similarly, the substrate **12c** contained *n*-butyl group under the same condition with both **13a** and **13b** afforded a 3:1 mixture of **14ca** and **15ca** and a 4:1 mixture of **14cb** and **15cb**, respectively. When we introduced an isopropyl group at the propargylic position (**12d**), a little improvement in regioselectivity with both **13a** and **13b** was observed to give a 6:1 mixture of **14da** and **15da** and a 7:1 mixture of **14db** and **15db**, respectively. Not surprisingly with the substrate **12e** bearing a *tert*-butyl group at the propargylic position, the regioselectivities with both **13a** and **13b** were dramatically diminished to give a 1:2 mixture of **14ea** and **15ea** and a 2:1 mixture of **14eb** and **15eb**, respectively. The more pronounced effect was observed with *tert*-alcohol **12g** with both **13a** and **13b** resulted in reversal of regioselectivities, giving a 1:2 mixture of **14ga** and **15ga** and a 1:3 mixture of **14gb** and **15gb**, respectively. But **12f** bearing a phenyl group gave a 4:1 mixture of **14fa** and **15fa** and a 10:1 mixture of **14fb** and **15fb**, respectively. Interestingly, when the substrate **12a** was applied to condition B gave almost a 1:1 mixture of **14aa** and **15aa**, implying that the substrate **12a** has competitive effect by the primary OH group and the ester group. The alkyl group size has also showed the dramatic effect in regioselectivity in these reactions. Ethyl-4-hydroxy-2-pentynylcarboxylate **12b** with both **13a** and **13b** gave a 1:10 mixture of **14ba** and **15ba** and almost **15bb**, respectively. Similarly, the substrate **12c** with **13a** gave a 1:12 mixture of **14ca** and **15ca**, while **13b** gave exclusively **15cb**. The **12d** bearing an isopropyl group at the propargylic position under condition B with **13a** afforded a 1:16 mixture of **14da**



R ¹ , R ² = -H, -H	12a	R = <i>n</i> -BuCH=CH-	13a
-H, -CH ₃	12b	= 4-CH ₃ OC ₆ H ₄ -	13b
-H, -CH ₂ CH ₂ CH ₃	12c	= 4-CH ₃ C ₆ H ₄ -	13c
-H, -CH(CH ₃) ₂	12d		
-H, -C(CH ₃) ₃	12e		
-H, -Ph	12f		
-CH ₃ , -CH ₃	12g		

cond A: RB(OH)₂ (**13**),
3 mol% Pd(OAc)₂, 3 mol% dppe, 10 mol% AcOH

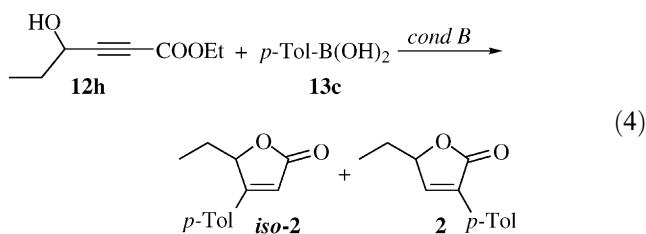
cond B: RB(OH)₂ (**13**),
3 mol% Pd(OAc)₂, 6 mol% (*t*-Bu)₃P, 10 mol% AcOH

Scheme 1. Reagents and conditions: (A) RB(OH)₂ (**13**), 3 mol% Pd(OAc)₂, 3 mol% dppe, 10 mol% AcOH; (B) RB(OH)₂ (**13**), 3 mol% Pd(OAc)₂, 6 mol% (*t*-Bu)₃P, 10 mol% AcOH.

Table 1. Reactions of 4-hydroxy-2-alkynecarboxylate **12** with organoboronic acids **13a–b** under conditions A and B

Entry	12	13	Conditions	Temperature (°C)/time (h)	Products	%Yield (ratio)
1	12a	13a	A, CHCl ₃	50/5	14aa, 15aa	87 (7:1)
2			B, THF	60/4		97 (1:1.4)
3	12a	13b	A, CHCl ₃	50/5	14ab, 15ab	74 (11:1)
4			B, THF	60/4		98 (1:1.5)
5	12b	13a	A, CHCl ₃	60/20	14ba, 15ba	74 (3:1)
6			B, THF	60/4		97 (1:10)
7	12b	13b	A, CHCl ₃	60/24	14bb, 15bb	75 (6:1)
8			B, 1,4-dioxane	60/4		94 (15bb only)
9	12c	13a	A, CHCl ₃	50/24	14ca, 15ca	67 (3:1)
10			B, THF	60/4		95 (1:12)
11	12c	13b	A, CHCl ₃	50/12	14cb, 15cb	76 (4:1)
12			B, 1,4-dioxane	60/4		96 (15cb only)
13	12d	13a	A, CHCl ₃	50/12	14da, 15da	85 (6:1)
14			B, THF	60/6		98 (1:16)
15	12d	13b	A, CHCl ₃	50/12	14db, 15db	73 (7:1)
16			B, 1,4-dioxane	60/4		96 (15db only)
17	12e	13a	A, 1,4-dioxane	100/24	14ea, 15ea	89 (1:2)
18			B, 1,4-dioxane	100/24		96 (1:11)
19	12e	13b	A, 1,4-dioxane	80/24	14eb, 15eb	80 (2:1)
20			B, THF	70/10		98 (15eb only)
21	12f	13a	A, CHCl ₃	60/12	14fa, 15fa	73 (4:1)
22			B, THF	60/8		96 (1:10)
23	12f	13b	A, CHCl ₃	60/12	14fb, 15fb	75 (10:1)
24			B, THF	60/4		96 (15fb only)
25	12g	13a	A, 1,4-dioxane	80/48	14ga, 15ga	77 (1:2)
26			B, THF	60/4		97 (15ga only)
27	12g	13b	A, 1,4-dioxane	80/48	14gb, 15gb	79 (1:3)
28			B, THF	60/4		98 (15gb only)

and **15da**, but surprisingly that with **13b** gave **15db** as a sole product. The similar trend was observed in case of **12e** bearing a *tert*-butyl, **12f** bearing a phenyl group with both **13a** and **13b**. An excellent regioselectivity was obtained with **12g**. It is implying that the bulkiness of the alkyl group near the triple bond affects the regioselectivity. In general, the regioselectivities raised from the use of the bulky ligand under condition B were much higher than those obtained under condition A. In order to prove the application of this methodology, we synthesized a racemic version of **2** (Eq. 4). When the condition B was applied to a mixture of **12h** and *p*-toluenboronic acid (**13c**) at 60 °C for 2 h in THF, a 1:11 mixture of *iso*-**2** and **2** were synthesized in combined 98% yield.



The phenomena of in situ lactonization are the convincing evidence of *syn*-addition of the organic group from organoboronic acids to alkyne-carboxylates. In precise,

we have demonstrated the Pd-catalyzed addition of aryl and alkenylboronic acids to 4-hydroxy-2-alkynecarboxylates and in situ lactonization with an excellent stereoselectivity and a high control of regioselectivity. No doubt that this investigation would constitute a novel methodology for the synthesis of various butenolides, which are interest of natural and pharmaceutical research. We are currently pursuing the application of this methodology to the combinatorial synthesis of a butenolid library.

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